

811 KAR 2:030. Racing officials.

RELATES TO: KRS 230.610-230.770

STATUTORY AUTHORITY: KRS 13A.010 et seq.

NECESSITY, FUNCTION, AND CONFORMITY: To regulate conditions under which quarter horse, appaloosa and Arabian racing shall be conducted in Kentucky. The function of this administrative regulation is to outline the qualifications, duties, powers and responsibilities of the racing officials.

Section 1. Racing Officials. (1) Persons appointed by the association to serve as racing officials during a race meeting must first be approved by the commission and shall serve only so long as approved by the commission, and shall be under the supervision of the stewards. For purposes of this rule, racing officials shall include those persons serving as steward, racing secretary, assistant racing secretary, clerk of the scales, paddock judge, starter, patrol judge, placing judge, timer, identifier and association veterinarian.

(2) No person while serving as a racing official shall, indirectly or directly, own a beneficial interest in an Arabian, quarter horse or appaloosa, or jockey contract, or association under his supervision; nor shall cause to be bought or sold, for himself or another, any Arabian, quarter horse or appaloosa under his supervision; nor shall he buy or sell, for himself or another, any right to or in a contract with a jockey or apprentice jockey under his supervision; nor shall he wager on any race under his supervision; nor shall he write or solicit horse insurance or have any monetary interest in any business which seeks the patronage of horsemen or racing associations as such. For the purposes of the above, the following racing department employees shall also be deemed racing officials: assistant starter, jockey room custodian, jockey room employees, valets, outriders.

(3) Racing officials serving in the capacity of stewards, placing and/or patrol judges, clerk of scales, starter and horse identifier shall take and satisfactorily pass an optical examination within one (1) year prior to the race meeting at which they serve, such examination evidencing corrected twenty-twenty (20-20) vision and an ability to distinguish colors correctly.

(4) Any racing official who desires to leave his employment during the race meeting must first obtain permission from the commission; in the event a vacancy occurs among racing officials other than stewards, the association shall promptly appoint a successor, subject to approval of the commission; in the event the association does not appoint a successor in time to permit the orderly conduct of racing, then the stewards shall immediately appoint a temporary successor.

Section 2. Racing Secretary. The racing secretary shall be responsible for the programming of races during the race meeting, compiling and publishing condition books, assigning weights for handicap races, and shall receive all entries, subscriptions, declarations, and scratches. Among the duties for which the racing secretary and his staff are responsible are:

(1) Safekeeping of registration of certifications and racing permits for horses, recording information required thereon, and returning same to owners at the conclusion of the racing meeting;

(2) Maintain a record and post daily all subscriptions and nomination fees received for each stake race, amounts received from each owner or trainer, plus added monies and late fees. Said list shall be posted in the racing secretary's office daily, and a copy delivered to the stewards. The racing secretary shall also maintain records of all arrears, jockey's fees, purchase money in claiming races and all other monies received incident to the race meeting and pay over same to persons entitled thereto within fourteen (14) days after race meeting concluded;

(3) Supervision of the horsemen's bookkeeper's handling of the "horseman's account;"

(4) Daily posting of entries for the benefit of the public as soon as possible after the entries have been closed and declarations have been made;

(5) To assign stall applicants such stabling as he may deem proper after consultation with the stewards, and to maintain a record of arrival and departure of all horses stabled on association grounds;

(6) To publish the official daily program, insuring the accuracy therein of the following information:

(a) Sequence of races to be run and post time for the first race;

(b) Purse, conditions, and distance for each race, and current track record for such distance;

(c) The full name of licensed owners of each horse, indicated as leased if applicable, and description of racing colors to be carried;

(d) The full name of the trainer and the full name of the jockey named for each horse together with the weight to be carried;

(e) The saddle cloth number or designation for each horse, and the post position for each horse if there is a variance with the saddle cloth designation;

(f) Identification of each horse by name, color, sex, age, sire and dam;

(g) Such other information as may be requested from time to time by the association or the commission.

Section 3. Clerk of the Scales. One (1) racing official shall serve as clerk of the scales who shall be responsible for the security, regulation, and control of the jockeys room, the equipment therein, and personnel permitted access thereto. Among the duties for which the clerk of scales is responsible are:

(1) Weighing out every jockey no later than fifteen (15) minutes prior to the race the jockey is scheduled to ride and recording all overweights which shall immediately be posted and announced to the public before each race;

(2) Weighing in every jockey immediately after the finish of each race and promptly notifying the stewards whether any jockey weighed in more than two (2) pounds underweight;

(3) Safekeeping of all racing colors;

(4) Reporting all color changes or jockey changes from that listed in the official daily program and causing same to be posted and announced to the public before each race;

(5) Supervision of all valets and the issuance of numbered saddle cloths and equipment for each horse;

(6) Accuracy of the scales and periodic tests thereof;

(7) Submitting to the racing secretary at the close of each racing day a statement of weight carried in each race by each jockey, noting overweight, if any;

(8) All complaints, protests, objections, or disputes submitted to the clerk of the scales shall be immediately transmitted to the stewards, and if the stewards are unavailable, then to the commission.

Section 4. Paddock Judge. One (1) racing official shall serve as paddock judge who shall have general supervision of the paddock and be responsible for:

(1) Assembling the horses and jockeys in the paddock no later than fifteen (15) minutes before the scheduled post time for each race;

(2) Proper identification of all horses entered in each race. The track horse identifier shall be under the supervision of the paddock judge;

(3) Maintaining a written record of all equipment and inspecting all equipment of each horse saddled and reporting any change thereof to the stewards;

(4) Inspection of bandages of a horse; the paddock judge may order such bandages removed or replaced;

(5) Inspection of the feet of each horse to insure that each horse is properly shod;

(6) Paddock schooling of all horses approved for such by the stewards;

(7) Taking such measures as to insure that the saddling of all horses is orderly, open to public view, free from interference, and that horses are mounted at the same time, and leave the paddock for the post in proper sequence.

Section 5. Starter. (1) The starter shall be responsible for the fair and equal start of all horses in a race at the scheduled starting time by means of a starting gate and bell, or other device activated by his signal. The starter may employ such assistant starters as he may deem necessary and shall daily change the gate position of each assistant starter without notice to the assistant starters until the field for the first race shall come upon the track.

(2) No horse shall be permitted to start in a race unless approval is given by the starter. The starter shall maintain a schooling list which shall be posted in the racing secretary's office listing the names of all horses ineligible to start for want of adequate training leaving the gate. Horses shall be schooled under the supervision of the starter or his assistants.

(3) The starter shall report to the stewards any disobedience of his orders or attempts to take unfair advantage at the starting gate and recommend penalties for offenders.

(4) No assistant starter shall handle a horse until instructed to do so by the starter. No assistant starter shall strike or use abusive language to a jockey.

(5) No starter or assistant starter shall accept any gratuity or payment other than his regular salary directly or indirectly, for services in starting a race, nor shall he wager on a race.

(6) The starter shall maintain a written record showing the names of all starters during the day and the names of the assistant starters who handled each horse, such record to be made available to the stewards upon request.

(7) The starter shall have constant radio or telephone communication with the stewards from the time the horses leave the paddock until the field is sent away.

Section 6. Patrol Judges. A race for a distance of 440 yards or less shall have at least one (1) patrol judge; a race for a distance over 440 yards shall have at least two (2) patrol judges. Racing officials shall serve as patrol judges who shall be stationed in elevated stands at points designated by the stewards to observe the running of each race. Each patrol judge shall have instant radio or telephone communication with the stewards to report observations, particularly as to any suspected foul riding, during the running of each race. Patrol judges shall assist in making up the film list and shall review all patrol films or video tapes before commencement of the next succeeding race program.

Section 7. Placing Judges. Three (3) racing officials shall serve as placing judges who shall occupy a stand directly above the finish line during the running of each race. The placing judges shall take special note of racing colors and distinguishing equipment carried by each horse. The placing judges shall determine the order of the horses as they cross the finish line by consideration of the respective noses of such horses. The placing judges shall cause the numbers of the first four (4) horses to cross the finish line to be flashed on the result board. A photo finish camera approved by the commission shall be used as an aid by the placing judges in determining the order of the horses as they cross the finish line; placing judges may request a photo to assist in determining margins of less than a half-length.

Section 8. Timer. A racing official shall serve as timer who shall occupy a stand directly above the finish line during the running of each race and record the official time thereof. The timer shall record the fractional time of leading horses during each race and the final time of each horse to cross the finish line. An electrical or mechanical timing device approved by the commission shall be used as an aid by the timer in determining the official time of each race. The timer shall maintain a written record of fractional and finish times of each race and file same with the racing secretary at the close

of each racing day. (Recodified from 812 KAR 1:030, 6-10-87.)